INITIATIVE 973

I, Sam Reed, Secretary of State of the State of Washington and custodian of its seal hereby certify that, according to the records on file in my office, the attached copy of Initiative Measure No. 973 to the People is a true and correct copy as it was received by this office.

AN ACT Relating to long-term care services for the elderly and people with disabilities; amending RCW 74.39A.009, 74.39A.050, 74.39A.270, 74.39A.300, 74.39A.310, 41.56.465, and 18.130.040; adding new sections to chapter 74.39A RCW; adding a new section to chapter

18.88A RCW; creating new sections; and repealing RCW 74.39A.190.

BE IT ENACTED BY THE PEOPLE OF THE STATE OF WASHINGTON:

5

- 7 <u>NEW SECTION.</u> **Sec. 1.** A new section is added to chapter 74.39A RCW 8 to read as follows:
- 9 It is the intent of the people through this initiative to protect 10 the safety of and improve the quality of care to vulnerable elderly and 11 persons with disabilities.
- The people find and declare that current procedures to train and educate long-term care workers and to protect the elderly or persons with disabilities from caregivers with a criminal background are
- 15 insufficient. The people find and declare that long-term care workers
- 16 for the elderly or persons with disabilities should have a federal
- 17 criminal background check and a formal system of education and
- 18 experiential qualifications leading to a certification test.

The people find that the quality of long-term care services for the elderly and persons with disabilities is dependent upon the competence of the workers who provide those services. To assure and enhance the quality of long-term care services for the elderly and persons with disabilities, the people recognize the need for federal criminal background checks, increased training requirements, mentoring and on-the-job training opportunities, and a formal process to certify long-term care workers and allow them to obtain certificates of apprenticeship. Their establishment should protect vulnerable elderly and persons with disabilities, bring about a more stabilized workforce, improve the quality of long-term care services, and provide a valuable resource for recruitment into long-term care services for the elderly and persons with disabilities.

Sec. 2. RCW 74.39A.009 and 2004 c 142 s 14 are each amended to 15 read as follows:

Unless the context clearly requires otherwise, the definitions in this section apply throughout this chapter.

- 18 (1) "Adult family home" means a home licensed under chapter 70.128 19 RCW.
 - (2) "Adult residential care" means services provided by a boarding home that is licensed under chapter 18.20 RCW and that has a contract with the department under RCW 74.39A.020 to provide personal care services.
 - (3) "Assisted living services" means services provided by a boarding home that has a contract with the department under RCW 74.39A.010 to provide personal care services, intermittent nursing services, and medication administration services, and the resident is housed in a private apartment-like unit.
- 29 (4) "Boarding home" means a facility licensed under chapter 18.20 30 RCW.
 - (5) "Cost-effective care" means care provided in a setting of an individual's choice that is necessary to promote the most appropriate level of physical, mental, and psychosocial well-being consistent with client choice, in an environment that is appropriate to the care and safety needs of the individual, and such care cannot be provided at a lower cost in any other setting. But this in no way precludes an individual from choosing a different residential setting to achieve his or her desired quality of life.

1 (6) "Department" means the department of social and health 2 services.

- (7) "Direct care worker" means paid caregivers who provide direct, hands-on personal care and other assistance services to the elderly or persons with disabilities requiring long-term care. Personal care services include assistance with eating, bathing, dressing, and other activities of daily living.
- (8) "Enhanced adult residential care" means services provided by a boarding home that is licensed under chapter 18.20 RCW and that has a contract with the department under RCW 74.39A.010 to provide personal care services, intermittent nursing services, and medication administration services.
- (((8))) (9) "Functionally disabled person" or "person who is functionally disabled" is synonymous with chronic functionally disabled and means a person who because of a recognized chronic physical or mental condition or disease, including chemical dependency, is impaired to the extent of being dependent upon others for direct care, support, supervision, or monitoring to perform activities of daily living.

 "Activities of daily living", in this context, means self-care abilities related to personal care such as bathing, eating, using the toilet, dressing, and transfer. Instrumental activities of daily living may also be used to assess a person's functional abilities as they are related to the mental capacity to perform activities in the home and the community such as cooking, shopping, house cleaning, doing laundry, working, and managing personal finances.
- ((+9)) (10) "Home and community services" means adult family homes, in-home services, and other services administered or provided by contract by the department directly or through contract with area agencies on aging or similar services provided by facilities and agencies licensed by the department.
- (((10))) (11) "Individual provider" means a person under contract with the department to provide services in the home under chapter 74.09 RCW or this chapter.
- (12) "Long-term care" is synonymous with chronic care and means care and supports delivered indefinitely, intermittently, or over a sustained time to persons of any age disabled by chronic mental or physical illness, disease, chemical dependency, or a medical condition that is permanent, not reversible or curable, or is long-lasting and severely limits their mental or physical capacity for self-care. The

use of this definition is not intended to expand the scope of services, care, or assistance by any individuals, groups, residential care settings, or professions unless otherwise expressed by law.

4 (((11))) (13) "Long-term care workers for the elderly or persons with disabilities" includes all persons who are long-term care workers 5 for the elderly or persons with disabilities, including but not limited 6 7 to individual providers of home care services, direct care employees of home care agencies, adult day care providers, providers of home care 8 9 services to persons with developmental disabilities under Title 71 RCW, all direct care workers in state licensed boarding homes, assisted 10 living facilities, and adult family homes, respite care providers, 11 community residential service providers, and any other direct care 12 13 worker providing home or community-based services to the elderly or 14 persons with functional disabilities or developmental disabilities. Persons employed in nursing homes subject to chapter 18.51 RCW, 15 hospitals or other acute care settings, hospice agencies subject to 16 17 chapter 70.127 RCW, and adult day health care centers are not within 18 the definition of long-term care workers for the elderly or persons 19 with disabilities.

20 <u>(14)</u> "Nursing home" means a facility licensed under chapter 18.51 21 RCW.

22

23

24

25

26

27

28

29

3031

3233

3435

3637

38

 $((\frac{12}{12}))$ "Secretary" means the secretary of social and health services.

(((13))) (16) "Training partnership" means a joint partnership or trust established pursuant to section 302(c)(5) of the Taft-Hartley Act to provide training, mentoring, and examinations required under this chapter, and educational, workforce development, or other services to those long-term care workers for the elderly or persons with disabilities represented by an exclusive bargaining representative under RCW 74.39A.270 and 74.39A.300.

(17) "Tribally licensed boarding home" means a boarding home licensed by a federally recognized Indian tribe which home provides services similar to boarding homes licensed under chapter 18.20 RCW.

<u>NEW SECTION.</u> **Sec. 3.** A new section is added to chapter 74.39A RCW to read as follows:

CRIMINAL BACKGROUND CHECKS. All long-term care workers for the elderly or persons with disabilities hired after January 1, 2009, shall be screened through state and federal background checks in a uniform

- 1 and timely manner to ensure that they do not have a criminal history
- 2 that would disqualify them from working with vulnerable persons. These
- 3 background checks shall include checking against the federal bureau of
- 4 investigation fingerprint identification records system and against the
- 5 national sex offenders registry or their successor programs. The
- 6 department shall not pass on the cost of these criminal background
- 7 checks to the workers or their employers. The department shall adopt
- 8 rules to implement the provisions of this section.

- 9 <u>NEW SECTION.</u> **Sec. 4.** A new section is added to chapter 74.39A RCW to read as follows:
 - TRAINING REQUIREMENTS. (1) Effective January 1, 2009, the department shall require that all persons employed as long-term care workers for the elderly or persons with disabilities meet the minimum training requirements in this section.
 - (2)(a) All persons employed as long-term care workers for the elderly or persons with disabilities shall be required to obtain eighty-five hours of entry-level training, as follows:
 - (i) Two hours of orientation training in the role of the caregiver and the terms of employment to be completed within fourteen calendar days of employment;
 - (ii) At least three hours of safety training including basic safety precautions, emergency procedures, and infection control to be completed within fourteen calendar days of employment;
 - (iii) Eighty hours of long-term care core competencies training including but not limited to the following training topics: The role of the caregiver; consumer rights and confidentiality; communication and problem-solving skills; personal care skills; health-related tasks training; in-home and nutritional support; body systems; disease and disability training; self-care training; and other training topics specific to consumer care needs. This training requirement must be completed within one hundred eighty calendar days of employment. Training required under RCW 18.20.270(5) or 70.128.230(5) counts towards the eighty hours of training required under this subsection.
 - (b) The department may waive some or all of the core competency training described in (a)(iii) of this subsection for long-term care workers for the elderly or persons with disabilities who:

(i) Are registered nurses, licensed practical nurses, or certified nursing assistants; are medicare certified home health aides; or hold a similar health certification, license, or degree; or

- (ii) Have at least one year of documented paid or unpaid caregiving experience and pass a challenge test developed by the department.
- (c) The requirements of this subsection do not apply to persons already employed prior to January 1, 2009, as long-term care workers for the elderly or persons with disabilities.
- (d) Long-term care workers for the elderly or persons with disabilities employed prior to January 1, 2009, must complete all training requirements in effect prior to that date.
- (3) Only training curriculum approved by the department may be used to fulfill the training requirements specified in this section. The department shall approve training curriculum that: (a) Has been developed with input from consumer and worker representatives; (b) allows for comprehensive instruction by qualified instructors on the competencies and training topics in this section; and (c) encourages direct participation by consumers and workers.
- 19 (4) The department shall adopt rules to implement the provisions of this section.
- NEW SECTION. Sec. 5. A new section is added to chapter 74.39A RCW to read as follows:
 - CONTINUING EDUCATION. Effective January 1, 2009, the department shall require that all long-term care workers for the elderly or persons with disabilities obtain twelve hours of continuing education training on topics relevant to the care needs of clients each year beginning on the date of certification completion or by January 1, 2010, for existing workers. The department shall adopt rules to implement the provisions of this section.
- 30 <u>NEW SECTION.</u> **Sec. 6.** A new section is added to chapter 74.39A RCW 31 to read as follows:
 - PEER MENTORING. Effective January 1, 2010, the department shall require that all long-term care workers for the elderly or persons with disabilities shall be assigned a peer mentor who shall provide mentorship or on-the-job training either in person or remotely for at least one hour a week for the first ninety days of work. The training or mentoring shall be provided by a long-term care worker who has

- 1 completed a twelve-hour mentor training course and is mentoring no more
- 2 than ten other workers at any given time.
- 3 NEW SECTION. Sec. 7. A new section is added to chapter 74.39A RCW 4 to read as follows:
- ADVANCED TRAINING. Effective January 1, 2011, the department shall 5 require that all long-term care workers for the elderly or persons with 6
- 7 disabilities shall be offered or made available sixty-five hours of
- advanced training including but not limited to topics such as: Client 8
- rights; personal care; mental illness; dementia; developmental 9
- 10 disabilities; depression; medication assistance; advanced communication
- 11 skills; positive client behavior support; developing or improving
- 12 client centered activities; dealing with wandering or aggressive client
- 13 behaviors; medical conditions; nurse delegation core training; peer
- 14 mentor training; and advocacy for quality care.
- 15 NEW SECTION. Sec. 8. A new section is added to chapter 74.39A RCW 16 to read as follows:
- 17 CERTIFICATION. (1) After January 1, 2009, all long-term care workers for the elderly or persons with disabilities must obtain 19 certification by the department as a home care aide within one hundred 20 eighty days of employment. This requirement does not apply to persons already employed as long-term care workers for the elderly or persons
- 23 (2) The department has the authority to:

with disabilities prior to January 1, 2009.

18

21

22

24

25

26

27

- (a) Establish forms, procedures, and examinations necessary to certify home care aides pursuant to this section;
- (b) Hire clerical, administrative, and investigative staff as needed to implement this section;
- (c) Issue a certification as a home care aide to any applicant who has passed a home care aide examination;
- 30 (d) Maintain the official record of all applicants and persons with 31 certificates;
- 32 (e) Exercise disciplinary authority as authorized in chapter 18.130 33 RCW;
- 34 (f) Deny certification to applicants who do not meet training, 35 competency examination, and conduct requirements for certification.
- 36 (3) The department shall develop a home care aide certification 37 examination to evaluate whether applicants possess the minimum skills

- 1 and knowledge necessary to practice competently. Only those who have
- 2 completed the training requirements or have had those requirements
- 3 waived pursuant to section 4(2)(b) of this act shall be eliqible to sit
- 4 for this examination. The examination shall include both a skills
- 5 demonstration and a written or oral knowledge test. Examinations shall
- 6 be limited to the purpose of determining whether the applicant
- 7 possesses the minimum skill and knowledge necessary to practice
- 8 competently. The examination papers, all grading of the papers, and
- 9 the grading of skills demonstration shall be preserved for a period of
- 10 not less than one year. All examinations shall be conducted under fair
- 11 and wholly impartial methods. The certification exam shall be
- 12 administered and evaluated by the department or by contractor to the
- 13 department that is neither an employer of long-term care workers for
- 14 the elderly or persons with disabilities or private contractors
- 15 providing training services under this act.
- 16 (4) With input from consumer and worker representatives, the
- 17 department shall adopt rules by January 1, 2009, that establish the
- 18 procedures and examinations necessary to carry into effect the
- 19 provisions of this section.
- 20 (5) The department shall adopt rules to implement the provisions of
- 21 this section.
- 22 <u>NEW SECTION.</u> **Sec. 9.** A new section is added to chapter 74.39A RCW
- 23 to read as follows:
- 24 APPRENTICESHIP. Certified home care aides who complete the
- 25 training requirements in section 4 of this act, the peer mentoring in
- 26 section 6 of this act, and the advanced and specialty training of
- 27 section 7 of this act, and who complete at least two thousand hours of
- 28 work are eligible to receive a certificate of apprenticeship from the
- 29 Washington state apprenticeship and training council in accordance with
- 30 the standards of apprenticeship established by local apprenticeship
- 31 committees.
- 32 NEW SECTION. Sec. 10. A new section is added to chapter 74.39A
- 33 RCW to read as follows:
- 34 ENFORCEMENT. (1) The department shall deny payment to any
- 35 individual provider of home care services who does not complete the
- 36 training requirements or obtain certification as a home care aide
- 37 within the time limit specified in this chapter.

1 (2) By January 1, 2009, the department shall adopt rules to enforce 2 the training and certification requirements of this chapter for all 3 long-term care workers for the elderly or persons with disabilities. 4 The enforcement may be accomplished by licensure actions or by 5 contract, and may include other enforcement measures deemed appropriate 6 by the department.

7

8

9

10

11

33

34

35

- (3) Chapter 34.05 RCW applies to department actions under subsection (2) of this section, except that orders of the department imposing license or certificate suspension or conditions for continuation of a license or certificate are effective immediately upon notice and shall continue in effect pending any hearing.
- NEW SECTION. Sec. 11. A new section is added to chapter 74.39A RCW to read as follows:

14 TRAINING PARTNERSHIPS. For all long-term care workers for the 15 elderly or persons with disabilities represented by an exclusive bargaining representative under RCW 74.39A.270 and 74.39A.300, all 16 17 training, peer mentoring, and challenge tests required or allowed in this chapter shall be provided by a training partnership. The training 18 19 partnership shall provide reports as required by the department 20 verifying that all long-term care workers for the elderly or persons 21 with disabilities have complied with all training requirements. 22 Employer contributions to the training partnership are a mandatory 23 subject of the collective bargaining agreement and shall be fixed at a 24 level sufficient to meet the cost of the training, peer mentoring, and 25 certification requirements and to contribute towards the cost of other 26 services such as adult education, caregiver support, and career 27 development services necessary to recruit and retain a high quality 28 workforce. The certified exclusive bargaining direct care 29 representative identified under RCW 74.39A.270 and 74.39A.300 shall 30 designate the training partnership.

- 31 **Sec. 12.** RCW 74.39A.050 and 2004 c 140 s 6 are each amended to 32 read as follows:
 - The department's system of quality improvement for long-term care services shall use the following principles, consistent with applicable federal laws and regulations:

(1) The system shall be client-centered and promote privacy, independence, dignity, choice, and a home or home-like environment for consumers consistent with chapter 392, Laws of 1997.

- (2) The goal of the system is continuous quality improvement with the focus on consumer satisfaction and outcomes for consumers. This includes that when conducting licensing or contract inspections, the department shall interview an appropriate percentage of residents, family members, resident case managers, and advocates in addition to interviewing providers and staff.
- (3) Providers should be supported in their efforts to improve quality and address identified problems initially through training, consultation, technical assistance, and case management.
- (4) The emphasis should be on problem prevention both in monitoring and in screening potential providers of service.
- (5) Monitoring should be outcome based and responsive to consumer complaints and based on a clear set of health, quality of care, and safety standards that are easily understandable and have been made available to providers, residents, and other interested parties.
- (6) Prompt and specific enforcement remedies shall also be implemented without delay, pursuant to RCW 74.39A.080, RCW 70.128.160, chapter 18.51 RCW, or chapter 74.42 RCW, for providers found to have delivered care or failed to deliver care resulting in problems that are serious, recurring, or uncorrected, or that create a hazard that is causing or likely to cause death or serious harm to one or more residents. These enforcement remedies may also include, when appropriate, reasonable conditions on a contract or license. In the selection of remedies, the safety, health, and well-being of residents shall be of paramount importance.
- (7) ((To the extent funding is available,)) All long-term care staff directly responsible for the care, supervision, or treatment of vulnerable persons ((should)) shall be screened through background checks in a uniform and timely manner to ensure that they do not have a criminal history that would disqualify them from working with vulnerable persons. ((Whenever a state conviction record check is required by state law, persons may be employed or engaged as volunteers or independent contractors on a conditional basis according to law and rules adopted by the department.))
- (8) No provider or staff, or prospective provider or staff, with a stipulated finding of fact, conclusion of law, an agreed order, or

finding of fact, conclusion of law, or final order issued by a disciplining authority, a court of law, or entered into a state registry finding him or her guilty of abuse, neglect, exploitation, or abandonment of a minor or a vulnerable adult as defined in chapter 74.34 RCW shall be employed in the care of and have unsupervised access to vulnerable adults.

- (9) The department shall establish, by rule, a state registry which contains identifying information about (p(ersonal)) certified home care aides identified under this chapter who have substantiated findings of abuse, neglect, financial exploitation, or abandonment of a vulnerable adult as defined in RCW 74.34.020. The rule must include disclosure, disposition of findings, notification, findings of fact, appeal rights, and fair hearing requirements. The department shall disclose, upon request, substantiated findings of abuse, neglect, financial exploitation, or abandonment to any person so requesting this information.
- (10) ((The department shall by rule develop training requirements for individual providers and home care agency providers. Effective March 1, 2002, individual providers and home care agency providers must satisfactorily complete department-approved orientation, basic training, and continuing education within the time period specified by the department in rule. The department shall adopt rules by March 1, 2002, for the implementation of this section based on the recommendations of the community long-term care training and education steering committee established in RCW 74.39A.190. The department shall deny payment to an individual provider or a home care provider who does not complete the training requirements within the time limit specified by the department by rule.
- (11))) In an effort to improve access to training and education and reduce costs, especially for rural communities, the coordinated system of long-term care training and education must include the use of innovative types of learning strategies ((such as internet resources, videotapes, and distance learning using satellite technology coordinated through community colleges or other entities, as defined by the department)) and technologies.
- ((12) The department shall create an approval system by March 1, 2002, for those seeking to conduct department-approved training. In the rule-making process, the department shall adopt rules based on the

recommendations of the community long-term care training and education steering committee established in RCW 74.39A.190.

1

2

3

4 5

6 7

8

9

1011

12

13

14

15

16

1718

19

20

21

22

23

24

25

26

27

28

29

3031

32

33

34

35

36

37

3839

 $\overline{(13)}$)) (11) The department shall establish, by rule, ((training,)) background checks((τ)) and other quality assurance requirements for personal aides who provide in-home services funded by medicaid personal care as described in RCW 74.09.520, community options program entry system waiver services as described in RCW 74.39A.030, or chore services as described in RCW 74.39A.110 that are equivalent to requirements for individual providers.

 $((\frac{14}{1}))$ (12) Under existing funds the department shall establish internally a quality improvement standards committee to monitor the development of standards and to suggest modifications.

((15) Within existing funds, the department shall design, develop, and implement a long-term care training program that is flexible, relevant, and qualifies towards the requirements for a nursing assistant certificate as established under chapter 18.88A RCW. This subsection does not require completion of the nursing assistant certificate training program by providers or their staff. The longterm care teaching curriculum must consist of a fundamental module, or modules, and a range of other available relevant training modules that provide the caregiver with appropriate options that assist in meeting the resident's care needs. Some of the training modules may include, but are not limited to, specific training on the special care needs of persons with developmental disabilities, dementia, mental illness, and the care needs of the elderly. No less than one training module must be dedicated to workplace violence prevention. The nursing care quality assurance commission shall work together with the department to develop the curriculum modules. The nursing care quality assurance commission shall direct the nursing assistant training programs to accept some or all of the skills and competencies from the curriculum modules towards meeting the requirements for a nursing assistant certificate as defined in chapter 18.88A RCW. A process may be developed to test persons completing modules from a caregiver's class to verify that they have the transferable skills and competencies for entry into a nursing assistant training program. The department may review whether facilities can develop their own related long-term care training programs. The department may develop a review process for determining what previous experience and training may be used to waive some or all of the mandatory training. The department of social and

health services and the nursing care quality assurance commission shall work together to develop an implementation plan by December 12, 1998.))

Sec. 13. RCW 74.39A.270 and 2006 c 106 s 1 are each amended to read as follows:

3

4

5

6 7

8

10

11

12

13

14

15

16

17

18

19

20

21

22

2324

25

26

27

28

29

30

31

32

33

34

35

- (1) Solely for the purposes of collective bargaining and as expressly limited under subsections (2) and (3) of this section, the governor is the public employer, as defined in chapter 41.56 RCW, of individual providers, who, solely for the purposes of collective bargaining, are public employees as defined in chapter 41.56 RCW. accommodate the role of the state as payor for the community-based services provided under this chapter and to ensure coordination with state employee collective bargaining under chapter 41.80 RCW and the coordination necessary to implement RCW 74.39A.300, the public employer shall be represented for bargaining purposes by the governor or the governor's designee appointed under chapter 41.80 RCW. The governor or governor's designee shall periodically consult with the authority during the collective bargaining process to allow the authority to communicate issues relating to the long-term in-home care services received by consumers. The governor or the governor's designee shall consult the authority on all issues for which the exclusive bargaining representative requests to engage in collective bargaining under subsections (6) and (7) of this section. The authority shall work with the developmental disabilities council, the governor's committee on disability issues and employment, the state council on aging, and other consumer advocacy organizations to obtain informed input from consumers on their interests, including impacts on consumer choice, for all issues proposed for collective bargaining under subsection (6) of this section.
- (2) Chapter 41.56 RCW governs the collective bargaining relationship between the governor and individual providers, except as otherwise expressly provided in this chapter and except as follows:
- (a) The only unit appropriate for the purpose of collective bargaining under RCW 41.56.060 is a statewide unit of all individual providers;
- (b) The showing of interest required to request an election under RCW 41.56.060 is ten percent of the unit, and any intervener seeking to appear on the ballot must make the same showing of interest;

1 (c) The mediation and interest arbitration provisions of RCW 41.56.430 through 41.56.470 and 41.56.480 apply, except that:

- (i) With respect to commencement of negotiations between the governor and the bargaining representative of individual providers, negotiations shall be commenced by May 1st of any year prior to the year in which an existing collective bargaining agreement expires;
- (ii) With respect to factors to be taken into consideration by an interest arbitration panel, the panel shall consider the financial ability of the state to pay for the compensation and fringe benefit provisions of a collective bargaining agreement; and
- (iii) The decision of the arbitration panel is not binding on the legislature and, if the legislature does not approve the request for funds necessary to implement the compensation and fringe benefit provisions of the arbitrated collective bargaining agreement, is not binding on the authority or the state;
 - (d) Individual providers do not have the right to strike; and
- (e) Individual providers who are related to, or family members of, consumers or prospective consumers are not, for that reason, exempt from this chapter or chapter 41.56 RCW.
- (3) Individual providers who are public employees solely for the purposes of collective bargaining under subsection (1) of this section are not, for that reason, employees of the state, its political subdivisions, or an area agency on aging for any purpose. Chapter 41.56 RCW applies only to the governance of the collective bargaining relationship between the employer and individual providers as provided in subsections (1) and (2) of this section.
- (4) Consumers and prospective consumers retain the right to select, hire, supervise the work of, and terminate any individual provider providing services to them. Consumers may elect to receive long-term in-home care services from individual providers who are not referred to them by the authority.
- (5) In implementing and administering this chapter, neither the authority nor any of its contractors may reduce or increase the hours of service for any consumer below or above the amount determined to be necessary under any assessment prepared by the department or an area agency on aging.
- (6) Except as expressly limited in this section and RCW 74.39A.300, the wages, hours, and working conditions of individual providers are determined solely through collective bargaining as provided in this

chapter. No agency or department of the state may establish policies or rules governing the wages or hours of individual providers.

However, this subsection does not modify:

- (a) The department's authority to establish a plan of care for each consumer or its core responsibility to manage long-term in-home care services under this chapter, including determination of the level of care that each consumer is eligible to receive. However, at the request of the exclusive bargaining representative, the governor or the governor's designee appointed under chapter 41.80 RCW shall engage in collective bargaining, as defined in RCW 41.56.030(4), with the exclusive bargaining representative over how the department's core responsibility affects hours of work for individual providers. This subsection shall not be interpreted to require collective bargaining over an individual consumer's plan of care;
- (b) The department's authority to terminate its contracts with individual providers who are not adequately meeting the needs of a particular consumer, or to deny a contract under RCW 74.39A.095(8);
- (c) The consumer's right to assign hours to one or more individual providers selected by the consumer within the maximum hours determined by his or her plan of care;
- (d) The consumer's right to select, hire, terminate, supervise the work of, and determine the conditions of employment for each individual provider providing services to the consumer under this chapter;
- (e) The department's obligation to comply with the federal medicaid statute and regulations and the terms of any community-based waiver granted by the federal department of health and human services and to ensure federal financial participation in the provision of the services; and
- (f) The legislature's right to make programmatic modifications to the delivery of state services under this title, including standards of eligibility of consumers and individual providers participating in the programs under this title, and the nature of services provided. The governor shall not enter into, extend, or renew any agreement under this chapter that does not expressly reserve the legislative rights described in this subsection (6)(f).
- (7) At the request of the exclusive bargaining representative, the governor or the governor's designee appointed under chapter 41.80 RCW shall engage in collective bargaining, as defined in RCW 41.56.030(4), with the exclusive bargaining representative over employer

- 1 contributions for the cost of meeting the training, advanced training,
- 2 continuing education, peer mentorship, challenge test, and
- 3 certification provisions of this act and providing such other programs
- 4 and services necessary to establish and promote the education,
- 5 training, career development, career ladders, caregiver support,
- 6 <u>certification</u>, and licensing of a stable, professionally trained long-
- 7 <u>term care workforce</u>.

relationship with the consumer.

- (8)(a) The state, the department, the authority, the area agencies on aging, or their contractors under this chapter may not be held vicariously or jointly liable for the action or inaction of any individual provider or prospective individual provider, whether or not that individual provider or prospective individual provider was included on the authority's referral registry or referred to a consumer or prospective consumer. The existence of a collective bargaining agreement, the placement of an individual provider on the referral registry, or the development or approval of a plan of care for a consumer who chooses to use the services of an individual provider and the provision of case management services to that consumer, by the
 - (b) The members of the board are immune from any liability resulting from implementation of this chapter.
 - ((8)) Nothing in this section affects the state's responsibility with respect to unemployment insurance for individual providers. However, individual providers are not to be considered, as a result of the state assuming this responsibility, employees of the state.

department or an area agency on aging, does not constitute a special

- **Sec. 14.** RCW 74.39A.300 and 2004 c 3 s 2 are each amended to read 29 as follows:
 - (1) Upon meeting the requirements of subsection (2) of this section, the governor must submit, as a part of the proposed biennial or supplemental operating budget submitted to the legislature under RCW 43.88.030, a request for funds necessary to administer chapter 3, Laws of 2002 and to implement the compensation and fringe benefits provisions of a collective bargaining agreement entered into under RCW 74.39A.270 or for legislation necessary to implement such agreement.
 - (2) Except as provided in subsection (3) of this section, a request for funds necessary to implement the compensation and fringe benefits

provisions of a collective bargaining agreement entered into under RCW 74.39A.270 shall not be submitted by the governor to the legislature unless such request:

- (a) Has been submitted to the director of financial management by October 1st prior to the legislative session at which the request is to be considered; and
- (b) Has been certified by the director of financial management as being feasible financially for the state or reflects the binding decision of an arbitration panel reached under RCW 74.39A.270(2)(c).
- (3) The governor must submit to the first available legislative session a request for funds necessary to implement the training contributions provisions of a collective bargaining agreement entered into under RCW 74.39A.270 as a part of the proposed biennial or supplemental operating budget submitted to the legislature under RCW 43.88.030.
- (4) The legislature must approve or reject the submission of the request for funds as a whole. If the legislature rejects or fails to act on the submission, any such agreement will be reopened solely for the purpose of renegotiating the funds necessary to implement the agreement.
- $((\frac{4}{1}))$ (5) When any increase in individual provider wages or benefits is negotiated or agreed to, no increase in wages or benefits negotiated or agreed to under this chapter will take effect unless and until, before its implementation, the department has determined that the increase is consistent with federal law and federal financial participation in the provision of services under Title XIX of the federal social security act.
- ((+5))) (6) The governor shall periodically consult with the joint committee on employment relations established by RCW 41.80.010 regarding appropriations necessary to implement the compensation and fringe benefits provisions of any collective bargaining agreement and, upon completion of negotiations, advise the committee on the elements of the agreement and on any legislation necessary to implement such agreement.
- $((\frac{(6)}{(6)}))$ After the expiration date of any collective bargaining agreement entered into under RCW 74.39A.270, all of the terms and conditions specified in any such agreement remain in effect until the effective date of a subsequent agreement, not to exceed one year from

the expiration date stated in the agreement, except as provided in RCW 74.39A.270(6)(f).

(((7))) (8) If, after the compensation and benefit provisions of an agreement are approved by the legislature, a significant revenue shortfall occurs resulting in reduced appropriations, as declared by proclamation of the governor or by resolution of the legislature, both parties shall immediately enter into collective bargaining for a mutually agreed upon modification of the agreement.

- **Sec. 15.** RCW 74.39A.310 and 2006 c 9 s 1 are each amended to read 10 as follows:
- (1) The department shall create a formula that converts the cost of the increase in wages and benefits negotiated and funded in the contract for individual providers of home care services pursuant to RCW 74.39A.270 and 74.39A.300, into a per-hour amount, excluding those benefits defined in subsection (2) of this section. That per-hour amount shall be added to the statewide home care agency vendor rate and shall be used exclusively for improving the wages and benefits of home care agency workers who provide direct care. The formula shall account for:
 - (a) All types of wages, benefits, and compensation negotiated and funded each biennium, including but not limited to:
 - (i) Regular wages;
 - (ii) Benefit pay, such as vacation, sick, and holiday pay;
 - (iii) Taxes on wages/benefit pay; ((and))
 - (iv) Mileage; and

- 26 <u>(v) Contributions to a training partnership pursuant to section 11</u>
 27 <u>of this act; and</u>
 - (b) The increase in the average cost of worker's compensation for home care agencies and application of the increases identified in (a) of this subsection to all hours required to be paid, including travel time, of direct service workers under the wage and hour laws and associated employer taxes.
 - (2) The contribution rate for health care benefits, including but not limited to medical, dental, and vision benefits, for eligible agency home care workers shall be paid by the department to home care agencies at the same rate as negotiated and funded in the collective bargaining agreement for individual providers of home care services.

NEW SECTION. **Sec. 16.** A new section is added to chapter 18.88A RCW to read as follows:

By January 1, 2009, the department shall develop in consultation with the nursing care quality assurance commission and consumer and worker representatives rules permitting reciprocity to the maximum extent possible under federal law between home care aide certification and nursing assistant certification.

- **Sec. 17.** RCW 41.56.465 and 1995 c 273 s 2 are each amended to read 9 as follows:
 - (1) In making its determination, the panel shall be mindful of the legislative purpose enumerated in RCW 41.56.430 and, as additional standards or guidelines to aid it in reaching a decision, it shall take into consideration the following factors:
 - (a) The constitutional and statutory authority of the employer;
 - (b) Stipulations of the parties;

- (c)(i) For employees listed in RCW 41.56.030(7) (a) through (d), comparison of the wages, hours, and conditions of employment of personnel involved in the proceedings with the wages, hours, and conditions of employment of like personnel of like employers of similar size on the west coast of the United States;
- (ii) For employees listed in RCW 41.56.030(7) (e) through (h), comparison of the wages, hours, and conditions of employment of personnel involved in the proceedings with the wages, hours, and conditions of employment of like personnel of public fire departments of similar size on the west coast of the United States. However, when an adequate number of comparable employers exists within the state of Washington, other west coast employers may not be considered;
- (iii) For employees listed in RCW 41.56.026 and 41.56.028, the cost of meeting the training, continuing education, advanced training, peer mentorship, and certification provisions of this act and providing other such programs and services necessary to protect the quality of long-term health services;
- (d) The average consumer prices for goods and services, commonly known as the cost of living;
- (e) Changes in any of the circumstances under (a) through (d) of this subsection during the pendency of the proceedings; and
- 37 (f) Such other factors, not confined to the factors under (a) 38 through (e) of this subsection, that are normally or traditionally

- 1 taken into consideration in the determination of wages, hours, and
- 2 conditions of employment. For those employees listed in RCW
- 3 41.56.030(7)(a) who are employed by the governing body of a city or
- 4 town with a population of less than fifteen thousand, or a county with
- 5 a population of less than seventy thousand, consideration must also be
- 6 given to regional differences in the cost of living.
- 7 (2) Subsection (1)(c) of this section may not be construed to
- 8 authorize the panel to require the employer to pay, directly or
- 9 indirectly, the increased employee contributions resulting from chapter
- 10 502, Laws of 1993 or chapter 517, Laws of 1993 as required under
- 11 chapter 41.26 RCW.
- 12 **Sec. 18.** RCW 18.130.040 and 2004 c 38 s 2 are each amended to read
- 13 as follows:
- 14 (1) This chapter applies only to the secretary and the boards and
- 15 commissions having jurisdiction in relation to the professions licensed
- 16 under the chapters specified in this section. This chapter does not
- 17 apply to any business or profession not licensed under the chapters
- 18 specified in this section.
- 19 (2)(a) The secretary has authority under this chapter in relation
- 20 to the following professions:
- 21 (i) Dispensing opticians licensed and designated apprentices under
- 22 chapter 18.34 RCW;
- 23 (ii) Naturopaths licensed under chapter 18.36A RCW;
- 24 (iii) Midwives licensed under chapter 18.50 RCW;
 - (iv) Ocularists licensed under chapter 18.55 RCW;
- (v) Massage operators and businesses licensed under chapter 18.108
- 27 RCW;

- 28 (vi) Dental hygienists licensed under chapter 18.29 RCW;
- 29 (vii) Acupuncturists licensed under chapter 18.06 RCW;
- 30 (viii) Radiologic technologists certified and X-ray technicians
- 31 registered under chapter 18.84 RCW;
- 32 (ix) Respiratory care practitioners licensed under chapter 18.89
- 33 RCW;
- 34 (x) Persons registered under chapter 18.19 RCW;
- 35 (xi) Persons licensed as mental health counselors, marriage and
- 36 family therapists, and social workers under chapter 18.225 RCW;
- 37 (xii) Persons registered as nursing pool operators under chapter
- 38 18.52C RCW;

- 1 (xiii) Nursing assistants registered or certified under chapter
- 2 18.88A RCW;
- 3 (xiv) Health care assistants certified under chapter 18.135 RCW;
- 4 (xv) Dietitians and nutritionists certified under chapter 18.138
- 5 RCW;
- 6 (xvi) Chemical dependency professionals certified under chapter
- 7 18.205 RCW;
- 8 (xvii) Sex offender treatment providers and certified affiliate sex
- 9 offender treatment providers certified under chapter 18.155 RCW;
- 10 (xviii) Persons licensed and certified under chapter 18.73 RCW or
- 11 RCW 18.71.205;
- 12 (xix) Denturists licensed under chapter 18.30 RCW;
- 13 (xx) Orthotists and prosthetists licensed under chapter 18.200 RCW;
- 14 (xxi) Surgical technologists registered under chapter 18.215 RCW;
- 15 ((and))
- 16 (xxii) Recreational therapists; and
- 17 (xxiii) Home care aides certified under section 8 of this act.
- 18 (b) The boards and commissions having authority under this chapter
- 19 are as follows:
- 20 (i) The podiatric medical board as established in chapter 18.22
- 21 RCW;
- 22 (ii) The chiropractic quality assurance commission as established
- in chapter 18.25 RCW;
- 24 (iii) The dental quality assurance commission as established in
- 25 chapter 18.32 RCW;
- 26 (iv) The board of hearing and speech as established in chapter
- 27 18.35 RCW;
- (v) The board of examiners for nursing home administrators as
- 29 established in chapter 18.52 RCW;
- 30 (vi) The optometry board as established in chapter 18.54 RCW
- 31 governing licenses issued under chapter 18.53 RCW;
- 32 (vii) The board of osteopathic medicine and surgery as established
- 33 in chapter 18.57 RCW governing licenses issued under chapters 18.57 and
- 34 18.57A RCW;
- (viii) The board of pharmacy as established in chapter 18.64 RCW
- 36 governing licenses issued under chapters 18.64 and 18.64A RCW;
- 37 (ix) The medical quality assurance commission as established in
- 38 chapter 18.71 RCW governing licenses and registrations issued under
- 39 chapters 18.71 and 18.71A RCW;

- 1 (x) The board of physical therapy as established in chapter 18.74 2 RCW;
- 3 (xi) The board of occupational therapy practice as established in 4 chapter 18.59 RCW;
- 5 (xii) The nursing care quality assurance commission as established 6 in chapter 18.79 RCW governing licenses and registrations issued under 7 that chapter;
- 8 (xiii) The examining board of psychology and its disciplinary 9 committee as established in chapter 18.83 RCW; and
- 10 (xiv) The veterinary board of governors as established in chapter 11 18.92 RCW.
- 12 (3) In addition to the authority to discipline license holders, the disciplining authority has the authority to grant or deny licenses 13 14 based on the conditions and criteria established in this chapter and 15 the chapters specified in subsection (2) of this section. This chapter also governs any investigation, hearing, or proceeding relating to 16 17 denial of licensure or issuance of a license conditioned on the 18 applicant's compliance with an order entered pursuant to RCW 18.130.160 19 by the disciplining authority.
- 20 (4) All disciplining authorities shall adopt procedures to ensure 21 substantially consistent application of this chapter, the Uniform 22 Disciplinary Act, among the disciplining authorities listed in 23 subsection (2) of this section.
- NEW SECTION. Sec. 19. RCW 74.39A.190 (Community long-term care training and education steering committee) and 2002 c 233 s 4 & 2000 c 121 s 8 are each repealed.
- NEW SECTION. Sec. 20. The provisions of this act are to be liberally construed to effectuate the intent, policies, and purposes of this act.
- NEW SECTION. Sec. 21. If any provision of this act or its application to any person or circumstance is held invalid, the remainder of the act or the application of the provision to other persons or circumstances is not affected.
- 34 <u>NEW SECTION.</u> **Sec. 22.** Captions used in this act are not part of the law.

NEW SECTION. Sec. 23. This act may be known and cited as the criminal background checks, training requirements, mentoring, certification, and apprenticeship for long-term care workers for the elderly and persons with disabilities initiative of 2007.

--- END ---